

Mutual Fund Brokerage/Commission Disclosure Statement

As per SEBI circular: SEBI/IMD/CIR No. 4/ 168230/09, following are the details of the comparative commissions earned by Sundaram Finance Limited from various fund-houses, whose products are being distributed:

Fund Schemes	Upfront Sales Incentives/Distribution Fees	Trail year 1	Trail year 2 onwards
Equity and Hybrid Equity (Balanced) Funds	0% to 0.75%	0% to 0.75%	0% to 0.75%
Thematic Equity Funds	0% to 1.00%	0% to 1.00%	0% to 1.00%
Sector Equity Funds	0% to 0.75%	0% to 1.25%	0% to 1.25%
Equity Savings Funds	0% to 0.85%	0% to 0.85%	0% to 0.85%
Index Funds & Exchange Traded Funds (ETFs)	0%	0% to 0.40%	0% to 0.35%
ELSS (Tax Planning Funds)	0% to 1.10%	0% to 0.70%	0% to 0.75%
Asset Allocation Funds	0% to 0.70%	0% to 0.70%	0% to 0.65%
Arbitrage Funds	0% to 0.05%	0% to 0.54%	0% to 0.50%
Debt: Monthly Income Plans Debt: Capital Protection Oriented Funds	0% to 0.70%	0% to 0.60%	0% to 0.65%
Gilt (Govt. Securities) Funds	0% to 0.09%	0% to 0.30%	0% to 0.30%
Debt: Income Funds Debt: Fixed Maturity Plans / Fixed Term Plans Debt: Credit Opportunities Funds Debt: Dual Advantage Funds	0% to 0.35%	0% to 0.50%	0% to 0.55%
Debt: Short Term Funds	0% to 0.06%	0% to 0.40%	0% to 0.40%
Liquid & Floating Rate Funds	0%	0% to 0.16%	0% to 0.15%
Ultra Short Term Funds	0%	0% to 0.75%	0% to 0.75%
Gold Fund of Funds Other Fund of Funds	0% to 0.25%	0% to 0.60%	0% to 0.60%

Sundaram Finance Limited could also get reimbursements towards promotion and marketing related activities conducted by Sundaram Finance Limited on behalf of Asset Management Companies (AMCs). These reimbursements are event based and the same may or may not be received from AMCs in a particular period. Further, since such activities may be carried out at the AMC level, reimbursement amount received cannot be attributed to any specific scheme being offered by such AMC.

It may be noted that Sundaram Asset Management Company Limited is a 100% subsidiary of Sundaram Finance Limited.

However, Sundaram Finance Limited, in its role as a Distributor of Mutual Funds, deals with all empanelled AMCs in a professional manner without any specific bias towards or against any particular company or set of companies.

The selection criteria for empanelling with selected AMCs, with specific due diligence filters, is based on an internal compliance document vetted by the Investment Committee. The same is available to the appropriate authorities for regulatory and audit purposes.

Details of Scheme level commission on Mutual funds are available with the advisors and would be produced on demand.

This is on a best effort basis and rates are updated as and when actual rates are received from AMCs.

Note: With effect from 1st November 2011, Sundaram Finance Limited has not opted for transaction charge as per the SEBI circular no. Cir/ IMD/ DF/ 13/ 2011 dated August 22, 2011.