

List of Documents Attached for A/c Opening – 1 Set (NRI) –Single / Joint Applicant

S.No	Documents	FS	FSAP	W1	IPVA	FSAPN	N	W2	Total
1	PMS Agreement along with POA* in favour of DHFL Pramerica Asset Managers Pvt. Ltd. (Ensure the date of PMS Application is the date of IPV)	32	2	1		1	1		37
2	Letter Confirming Name of the Client in case of difference in Proof of Identity & Address (Separate Confirmation for each applicant)	2							2
3	Letter confirming Registration of POA to Bank & Demat A/c of the Client	2							2
4	NRI Declaration	2							2
5	HDFC Bank Ltd - Bank A/c Opening Form (PIS & NON PIS)	16	2						18
6	Based on Client Choice of NRI Bank A/c , Portfolio Investment Scheme (PIS) Application Form for:- A.) NRE A/c – NRE PIS application form along with Annexure I and RPI B.) NRO A/c – NRO PIS application form along with Annexure II & III and NRI Application.	8							8
6A	If Client has no existing PIS Permission, then only the above documents are required.	0							0
6B	If Client has existing PIS Permission, then a) If PIS Permission is from HDFC Bank, then client to provide the following documents. I. Certified copy of the valid Approval (Existing PIS Permission copy) II. Extension of PIS Permission (Signed by Client) (format provided)	2							2
	b) If PIS Permission is from any Bank other than HDFC Bank, then client to provide the following documents I. Certified copy of the valid Approval (Existing PIS Permission copy) II. NOC from existing Bank (Signed by Client's Bank) (format provided) III. Certificate from existing bankers confirming the details of his current Demat holdings (confirming date and cost of acquisition) which were purchased through the NRE / NRO account with Existing Bank.	2							2
7	Nomination form – NA1(PIS & NON PIS)	4		2				2	8

8	Annexure 1 – Address of Joint Applicants	2							2
9	HDFC Bank Ltd – Demat A/c Opening Form	14	2	1	1	1	1	1	21
10	KYC Form for Demat A/c (HDFC Format) (Separate Confirmation for each applicant)	2	2		2				6
11	FEMA Declaration	2							2
12	Trading Account (First Applicant name Only) application form and supporting documents. (To be Signed by First Applicant Only) <ul style="list-style-type: none"> • Annexure 2&3 • Client Acknowledgement • NRI Declaration Trading A/c • Trading A/c Tariff 	9	1	1				1	12
13	FATCA Confirmation <ul style="list-style-type: none"> i. HDFC Bank Ltd. ii. DHFL Pramerica Asset Managers Private Limited 	6							6
14	For NRI Clients from US**, please provide either <ul style="list-style-type: none"> • Form W-8 • Form W-9 								1
Total Signatures		103	9	5	3	2	2	4	128



FS - Full Signature of Client	FSAPN - Full Signature Across Photo Nominee
FSAP - Full Signature Across Photo - Client	N - Nominee Signature
W1 - Witness One	W2 - Witness Two
IPVA - IPV Agent of HDFC Bank	

Important Note:-

*	Refer S. No. 1 - Power of Attorney (POA) of NRI Client, i.e. from the client to PAMPL to be notarised at the NRI Client's Local Location (Pg 21 to 23).
**	<p>The investment is not an offer for sale, or a solicitation of an offer to buy, in the United States or to any "US Person" of any Units of the Scheme. "US Person" includes a natural person, residing in the United States or any entity organized or incorporated under the laws of the United States. US Citizens living abroad may also be deemed "US Persons" under certain rules. The Scheme offered hereunder has not been and will not be registered under the United States Securities Act of 1933 as amended (the "Securities Act"), for offer or sale as part of its distribution and the Fund or the AMC have not been and will not be registered under the United States Investment Company Act of 1940. This does not constitute, and should not be construed as, "general solicitation or general advertising" as defined under Regulation D of the Securities Act, or "directed selling efforts" under Regulation S of the Securities Act.</p> <p>The AMC, being a wholly owned subsidiary of a US based parent company, may have certain reporting obligations under U.S. tax laws in respect of investments by and payments to US based clients of the India operation. The AMC may also be obligated to withhold US tax under US tax laws, if the AMC makes any payment / distributions to US clients who do not have or who have not provided their US taxpayer ID, and also report all such payments to US clients in a (US) Form 1099. Thus, notwithstanding what is stated in the foregoing paragraph, if any US based NRI or PIO invests in any strategy of the PMS, such investor may be required to fill in and sign the prescribed Form W-9 (including US taxpayer ID/Social Security Number), if he/she is a US citizen or US resident to avoid U.S. tax withholding, if required, at the time of any payments; and if such an investor is a not a US citizen or resident, he/she may be required fill in and sign the prescribed Form W-8. The respective forms are available at http://www.irs.gov/pub/irs-pdf/fw9.pdf and http://www.irs.gov/pub/irs-pdf/iw8ben.pdf.</p>

List of Documents for NRI Applicants

S.No	Documents	Copies
1	Proof of Identity - PAN Card (Each Applicant) - self attested by each Applicant. (To be certified by the IPV Agent)	2
2	Local Proof of Address (Each Applicant) - self attested by each Applicant. (To be certified by the IPV Agent)	2
3	Overseas Proof of Address (Each Applicant) - self attested by each Applicant. (To be certified by the IPV Agent)	2
4	Valid Passport Copy of the Applicants – self attested by each Applicant. (To be certified by the IPV Agent)	2
5	Valid Visa Copy of the Applicants – self attested by each Applicant. (To be certified by the IPV Agent)	2
6	Immigration Stamp copy of Passport (Proof of Entry in India) – Self attested by each Applicant. (To be certified by the IPV Agent)	2
7	PIO Card / OCI Card / PIO declaration in case the NRI / PIO relationship is not established based on the documents submitted by the client	2
8	Non English Declaration if the address proof is in Foreign Language	1
9	Photographs of Applicants : <ul style="list-style-type: none"> • PMS Application • Bank A/c Opening Form • Demat A/c Opening Form • HDFC KYC Form • Trading A/c (1st Applicant Only) 	5
10	Photograph of Nominee : <ul style="list-style-type: none"> • Demat A/c Opening Form 	1

List of Documents that can be taken as ID/Address (Local / Overseas) Proof for NRI Applicants:-

- Passport
- Pan Card
- Driving License
- Aadhaar Card / E-aadhaar Letter
- Bank Statements (Last 3 Months)
- Utility Bills
- Resident Card.