

Letter of Enrollment for Systematic Income Program

I / We understand that;

the Systematic Income Program offered by Sundaram Wealth Services is a structured portfolio of Balanced mutual funds – that strives to give a visible cash inflow through periodic capital redemptions to benefit from taxation as well as to aim for long term capital appreciation.

Investments would be made in a staggered manner from liquid funds into balanced funds in tranches - to reduce volatility. The 1st year cash-flows will be provided by credits from the liquid funds and 2nd year onwards the cash-flow will be from redemptions in the balanced funds. I/We understand that the above is done to make the structure less volatile and more tax efficient. I/We have read and understood the program and the underlying scheme offer documents in full and accord my/our approval based on the investment proposal provided to me/us.

The service would gather as far as possible - fund research and analysis, the fund house/principal's projections, capital market movements, insights into various sectors - and thereupon give a comprehensive portfolio and its review on a periodic basis.

I/We also understand that the given services, as specified in the Annexure, are being provided for a minimum period of 5 years from the date of signing this enrollment letter; whereupon the same may be reviewed/ modified/ recalled for the next period. Any additions or modifications to these services in between would be intimated to me/us in person by an authorised representative or in writing through a letter/email.

I/We hereby undertake to comply with all necessary KYC requirements and adhere to all regulations and requirements of the respective Asset Management Companies. I/we would undertake investments only post reading and understanding all the terms, conditions and instructions as contained in the individual scheme information documents.

I/We declare that all particulars given by me/us in any of the investment documents would be true, correct and complete. I shall seek clarifications from the investment officer prior to implementing any action based on the mutual fund portfolio review. I/We am/are aware that any action based on the portfolio statement is subject to the risks inherent to the markets and any other risks associated with the specified investment(s).

I would like to subscribe to e-mail (*to my email id mentioned below*) & SMS alerts (*to my registered mobile number given below*) pertaining to any transactions done under the above program and/or any other information on other financial services on a periodic basis.

I/We further undertake to intimate Sundaram Wealth Services' officer of any changes in my/our investments/ additional relevant information/ additional documents - immediately upon the occurrence of such a change.

I/We also agree to provide any additional information / documents that may be required by Sundaram Wealth Services from time to time, failing which, I / we understand that any statement/portfolio review furnished by Sundaram Direct could be incomplete.

Signature:

Client Name:

PAN:

Email ID:

Date:

Date of Birth:

Mobile No.:

Place:

Serviced by:

Branch:

Annexure:

Service Deliverables under the Systematic Income Program

1. Quarterly Portfolio Statement

A comprehensive report on the investments under the program, that contains:

- Investment details
- Current NAV
- Current Market Value
- Dividends Received
- Net Gains
- Absolute Yield
- Annualised Yield

2. Annual Report on Capital Gains / Losses

For schemes invested through Sundaram, taxation support would be provided through:

- Consolidated report on investments redeemed during the financial year
- Implication of the Capital Gain/Loss booked computed
- Report can be used for submitting to Auditors for computation of capital gains tax liability.

3. Annual Portfolio Review

An indepth review of the portfolio that:

- Analyses portfolio performance over the year
- Review the same on investor's behalf with Fund Managers and Rating Firms.
- Consolidate the Buy, Hold or Sell views using the above process
- Assisting the documentation and implementation of the review

4. Special Reports

Value added research reports:

- Budget and similar economic event Reports
- Financial Planning Notes and articles

Fee Structure

Annual Portfolio Administration Fees:

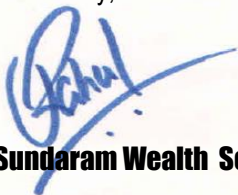
Waived off for existing Sundaram Finance (Deposit, Loan, Insurance, Investment) Customers

If you have any questions or feel that some relevant information has been missed out during the periodic statements, please do contact us anytime - to help us manage your investments more efficiently.

We would welcome any of your valuable suggestions, transactional requests or grievances (if any) directly to the Head of Sundaram Wealth Services through e-mail at rahul@sundaramfinance.in

Thanking you once again and looking forth for your continued support and patronage,

Yours truly;



Sundaram Wealth Services

Client Signature: